

# REALFIN COLLECTIVE INVESTMENT SCHEMES

## INVESTOR REVIEW FORM

### IMPORTANT INFORMATION

- Please complete all relevant sections within this Investor Review Form.
- Please provide the relevant FICA documentation that corresponds to the updates being provided, or where the documentation is different to that which was provided with your original investment.
- Please upload the completed Investor Review Form along with the relevant FICA to the secure link sent via email.



### CONTACT DETAILS

Management Company - RCIS Tel: +27 21 701 3777 Email: [clientservices@realfin.co.za](mailto:clientservices@realfin.co.za) Website: [www.realfin.co.za](http://www.realfin.co.za)

Physical Address: 347 Main Road, Kenilworth, Cape Town, 7708.

Complaints: Should you have any complaints, please send an email to [complaints@realfin.co.za](mailto:complaints@realfin.co.za).

Trustees: FirstRand Bank Limited (acting through its RMB Trustee Services Division) is the appointed Trustee and can be contacted on 087 736 1732.

### SECTION 1 | EXISTING INVESTOR DETAILS

Existing Investor Number:  Full Name:   
ID/Passport Number (Foreign National):   
Telephone (Home or Mobile) :  Email Address:

### SECTION 2 | UPDATED INVESTOR DETAILS

Title:  Surname:  Full Name(s):   
Gender: Male  Female  ID or Passport Number (Foreign National):   
Country of Birth:  Date of Birth (DD/MM/YYYY):   
Income Tax No.\*:  Marital Status: Single  Married (ICOP)  Married (OCOP)   
Physical Address:   
 Code:   
Postal Address:  Code:   
Telephone (Home or Mobile) :  Email Address:   
Profession:  Investor's Communication Choice: Email  Post   
Nature of transaction: Single transaction (once-off only)  More than one transaction over a period of time

\*Please note that the Parent's/Guardian's income tax reference number should be provided only if the source of funds is linked to the Parent/Guardian. If not, the minor's income tax reference number should be provided.

Do you occupy or have you in the past 12 months occupied the position of a Domestic Politically Exposed Person (DPEP), Foreign Politically Exposed Person (FPEP) or Prominent Influential Person (PIP)? Yes  No  (see definitions below)

If "Yes", please indicate the position that you occupy/occupied:

If "Yes", please indicate your source of wealth:

**"DPEP"** a Domestic Politically Exposed Person is an individual who:

(A) holds, including in an acting position for a period exceeding six months, or has held a prominent public function in the Republic, including that of –

- President or deputy president of South Africa;
- Government minister or deputy minister;
- Premier of a province;
- Member of executive council of a province;
- Head, accounting officer or chief financial officer of a national or provincial department or government component;
- Chairperson, chief executive officer, accounting authority, chief financial officer or chief investment officer of a public entity;
- Chairperson of the controlling body, chief executive officer, chief financial officer or chief investment officer of a municipal entity
- Constitutional court judge or any other judge as defined in section 1 of the Judges' Remuneration and Conditions of Employment Act, 2001 (Act No. 47 of 2001)
- Ambassador, high commissioner or senior representative of a foreign country who is based in South Africa;
- Officer of the South African National Defence Force above the rank of major-general

(B) holds, including in an acting position for a period exceeding six months, or has held the position of head, or other executive directly accountable to that head, of an international organisation.

**"FPEP"** a Foreign Politically Exposed Person is an individual who holds, or has held, in any foreign country a prominent public function including that of a:

- Head of State or head of a country or government;
- Member of a foreign royal family;
- Government minister or equivalent senior politician or leader of a political party;

**"PIP"** a Prominent Influential Person is an individual who holds, or has held at any time in the preceding 12 months, the position of:

- Chairperson of the board of directors;
- Chairperson of the audit committee;
- Executive officer; or
- Chief financial officer, of a company, as defined in the Companies Act, 2008 (Act No. 71 of 2008), if the company provides goods or services to an organ of state and the annual transactional value of the goods or services or both exceeds an amount determined by the Minister by notice in the Gazette.

## 2.1 | INDIVIDUALS ACTING ON BEHALF OF THE INVESTOR\*

Full Name(s):	<input type="text"/>	Capacity:	<input type="text"/>
Full Name(s):	<input type="text"/>	Capacity:	<input type="text"/>
Full Name(s):	<input type="text"/>	Capacity:	<input type="text"/>
Full Name(s):	<input type="text"/>	Capacity:	<input type="text"/>

**NOTE: Please fill out an "Annexure B" on page 4 for each person acting on behalf of the investor.**

\*This is for Parents/Guardians/Persons with Power of Attorney

## 2.2 | DETAILS OF CONTACT PERSON\*

**\*Only to be completed if the details are different from those provided above**

Surname:  Full Name(s):  Capacity:

Gender: Male  Female  ID or Passport Number (Foreign National):

Telephone (Home or Mobile) :  Email Address:

## SECTION 3 | BANKING DETAILS

Account Holder Name:

Name of Bank:  Account Number:

Branch Name:  Branch Code:

Account Type: Current  Cheque  Savings  Transmission

- Please supply proof of bank account details (on a bank letterhead and not older than 3 months).
- All payments are made electronically to the bank accounts of the registered investor only.
- The account holder must have a South African bank account.
- No payments will be made to credit card or market-linked accounts.
- No Third Party bank accounts are permitted.

## SECTION 4 | INVESTOR DECLARATION

I declare (as an authorised signatory) that the information provided in this form is, true and correct.

Authorised Signature:  Date (DD/MM/YYYY):

Name and Surname:  Place of Signature:

Authorised Signature:  Date (DD/MM/YYYY):

Name and Surname:  Place of Signature:

# ANNEXURE B

## ADDITIONAL INFORMATION

Details of all parents, legal guardians and power of attorneys must be inserted below.

(Please fill out a separate Annexure B form for each person as necessary).

PLEASE TICK THE RELEVANT CATEGORY

- Parent  
 Legal Guardian  
 Person with Power of Attorney

### INDIVIDUALS ACTING ON BEHALF OF THE INVESTOR

Full Name(s):  ID Number:

Residential Address:

Nationality:  Profession:

Country of Residence/Incorporation:

Tax Reference Number :  Tax Residency/Obligations:

Telephone (Home/Mobile) :  Email Address:

Do you occupy or have you in the past 12 months occupied a **Domestic Politically Exposed Person (DPEP), Foreign Politically Exposed Person (FPEP) or Prominent Influential Person (PIP)** position? *Definitions on page 2*

Yes  No If "Yes", please indicate that position that you occupy/occupied:

If "Yes", please indicate your source of wealth:

Please describe the company or business' activities (ie. what industry it is in, what products / services it sells etc.)

### COMPULSORY TAX INFORMATION

Tax Reference Number: 1.  2.  3.

Tax Residency/Obligations 1.  2.  3.

If you are unable to provide a tax identification number, please can you give a reason: