

ADDITIONAL INVESTMENT FORM

ADDITIONAL INVESTMENT PROCESS

IMPORTANT INFORMATION

- The **Terms and Conditions** agreed to in the Investment form will remain in force and apply to this Additional Investment form. By signing this Additional Investment form you undertake to be bound by the provisions of the Investment form, this Additional Investment form and any annexures hereto, as read with the Terms and Conditions, which you understand may have changed since the original investment was made. This available from RCIS on request by you.
- **RealFin Collective Investment Schemes (RF) Proprietary Limited** is registered and approved by the Financial Sector Conduct Authority as a manager of Collective Investment Schemes approved in terms of the Collective Investment Schemes Control Act ("CISCA").



STEP 1

- Please refer to the **Minimum Disclosure Document (MDD)** in respect of your selected Portfolios before completing this investment form. The minimum disclosure document reflects the fees, investment objectives, risk profile and income distribution dates for your chosen portfolio(s).
- Please read the **RCIS Fund Information Document** for Terms and Conditions, Investment and Redemption cut-off times as well as specific disclosures relating to your investment.



STEP 2

- Please complete **all relevant sections** within this Additional Investment form.

STEP 3

- Please read and make sure that you understand the terms and conditions in this form, including those in **Section 4**. If the investor is a minor the Additional Investment form must be signed by the legal guardian/parent.
- Please send the completed Additional Investment form to: **clientservices@realfin.co.za**
- Once the Additional Investment form has been received and is in good order, the RCIS banking details (including a cyber safety and security letter for your attention) will be sent.



CONTACT DETAILS

RealFin Collective Investment Schemes (RF) (Pty) Ltd

Tel: +27 21 701 3777

Email: clientservices@realfin.co.za

Website: www.realfin.co.za

Physical Address:

347 Main Road, Kenilworth, Cape Town, 7708

Complaints:

Should you have any complaints, please send an email to complaints@realfin.co.za. Our Complaints Policy is available on our website: www.realfin.co.za

Trustees:

FirstRand Bank Limited (acting through its RMB Trustee Services Division) is the appointed Trustee and can be contacted on 087 736 1732

SECTION 1 | INVESTOR DETAILS

Full Name/Entity Name:	<input type="text"/>	ID/Entity Registration Number:	<input type="text"/>
Investor Number:	<input type="text"/>	Name of Authorised Person:	<input type="text"/>
Contact Number:	<input type="text"/>	Email Address:	<input type="text"/>

SECTION 2 | INVESTOR PAYMENT DETAILS

Please specify your source of funds:

<input type="checkbox"/> Salary	<input type="checkbox"/> Investment Proceeds	<input type="checkbox"/> Sale of Assets
<input type="checkbox"/> Inheritance	<input type="checkbox"/> Company Profit	<input type="checkbox"/> Transfer from FSP
<input type="checkbox"/> Member's Contribution	<input type="checkbox"/> Other (specify) <input type="text"/>	

RCIS reserves the right to request documentary proof (e.g. income statement, bank statement). Please note that the electronic Internet transfers may take up to two business days to reflect in our bank account.

SECTION 3 | INVESTMENT OPTIONS

Please make sure that you have read and understood the **RCIS Fund Information Document** as well as the relevant **Minimum Disclosure document** for the desired Portfolio(s), before completing the below. Kindly note that debit orders are not available for these products.

PORTFOLIO NAME	FEE CLASS	INVESTMENT AMOUNT	DATE	DISTRIBUTIONS	
				RE-INVESTED	PAID OUT
<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>
<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>
<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>

A schedule of fees, charges and maximum commissions is available on request from RCIS.

SECTION 4 | INVESTORS DECLARATION

I/We understand and have read all the pages of this additional investment form and agree to the **Terms and Conditions** set out in **Section 6** of the **RCIS Fund Information Document**. I/We understand that this investment application form, read together with the Deed and Supplemental Deed of the relevant portfolio(s), constitutes the entire agreement between myself/ourselves and RCIS.

I/We understand and/or confirm that:

- The information which I/we have provided is true and correct, and that if this additional investment form is signed in a representative capacity, I/we have the necessary authority to do so and that this transaction is within my/our powers.
- I/We understand that I/we are responsible for ensuring that RCIS always has up to date information and should any information provided herein to RCIS change I/we undertake to immediately notify RCIS of such changed information.
- I/We am/are acting for my/our own account and that I/we have made my/our decision(s) to enter into the investment and as to whether the investment is appropriate for me/us independently, based upon my/our own judgement, and upon advice from such advisers as I/we may deem necessary.
- I understand that the manager will accept instructions from my FSP only if duly appointed and authorised in writing by me. The manager will not be held liable for any losses that may result from unauthorised instructions given to the manager by my FSP.
- I hereby authorise the manager to furnish written reports to my duly appointed FSP, if applicable.
- I/We declare that I am/we are not relying on any communication received from RCIS, whether written, oral or implied as investment advice or as a recommendation to enter into the investment.
- I/We understand that information and explanations relating to the terms of an investment shall not be considered investment advice or a recommendation to enter into the investment. I/we acknowledge that RCIS is not authorised to provide investment advice to me/us.
- RCIS will only be able to process investments once all relevant FICA documentation has been received and funds have been received into our bank account and proof of deposit provided to us. Any delay in providing FICA documentation or depositing funds and providing proof thereof may lead to a delay in processing an investment and I/We acknowledge that we shall have no recourse against RCIS for any loss which I/we may suffer in this regard.
- RCIS will not proceed with any transaction if there is any doubt as to the validity of any signatures/information or if it deems the application to be incomplete in any way and RCIS cannot be held liable, and the investor hereby waives any claim, for any resultant losses as a result thereof.

- SARS requires RCIS to withhold and pay over dividend tax on an investor's behalf where applicable. RCIS will deduct this tax before it pays any dividends or re-invests these into your investment account. Unless RCIS receives information from you indicating otherwise, accounts held by South African trusts, companies and partnerships will attract the Dividend Withholding Tax "DWT" at the stipulated rate, which is currently 20% (such rate subject to change).
- I/We will notify RCIS immediately if my/our tax residency or FATCA, CRS or equivalent classification changes from that certified in Annexure C in the future.
- RCIS will not be responsible for any failure, malfunction or delay of any networks, electronic or mechanical device or any other form of communication used in the submission, acceptance and processing of applications and/or transactions. RCIS will not be liable to make good or compensate any investor or third party for any damages, losses, claims or expenses resulting therefrom.
- I/We acknowledge the inherent risk associated with the selected Hedge Fund(s) and that there are no performance guarantees. I/we have received, read and understand the following sections: **Hedge Fund Disclosure Risk** (as outlined in **Section 5**); the **Terms of Conditions** (as outlined in **Section 6**) the **Mandatory Disclosures** (as outlined in **Section 7**) and the **Data Protection Notice and Consent** (as outlined in **Section 8**) of the **RCIS Fund Information Document**, and the **Minimum Disclosure Document** in respect of the Portfolios which I/we have selected.
- I/We consent to receiving the **Minimum Disclosure Document** via the RCIS website www.realfin.co.za or via email request from clientservices@realfin.co.za
- I/We consent to RCIS utilising electronic balloting in accordance with the Deed.
- I/We hereby indemnify and hold RCIS harmless against any loss which RCIS may suffer as a result of any of the above representations proving to be untrue and I/we hereby undertake to pay to RCIS, forthwith on demand, any amount which RCIS may claim in terms of this indemnity.
- Any personal information may be used by RCIS in the normal course of business to provide the products and services and RCIS may retain any information for purposes of investment transactions, processing and administration and to communicate directly with me. Personal information will not be given or sold to any third parties. RCIS will disclose or report personal information if and when required to do so by law or any regulatory authority, and to RCIS's employees (if relevant), or agents who require such information to carry out their duties. I/we consent to the processing of my/our personal information/data and the disclosure of my/our personal information/ data to any tax authority and/or as may be required to comply with FATCA and the Common Reporting Standard (CRS) as explained in Annexure C – Tax Residency Self-Certification, attached hereto. I consent to RCIS making enquiries of whatsoever nature and in particular the use of a digital KYC solution for the purpose of identification and verification requirements in terms of FICA and for the purpose of verifying the information disclosed in this application and I expressly consent to RCIS obtaining any other information concerning me from any source whatsoever to enable RCIS to process this application. To view our full privacy notice, please visit our website on www.realfin.co.za.

Authorised Signature:	<input type="text"/>	Date (DD/MM/YYYY):	<input type="text"/>
Name and Surname:	<input type="text"/>	Place of Signature:	<input type="text"/>
Authorised Signature:	<input type="text"/>	Date (DD/MM/YYYY):	<input type="text"/>
Name and Surname:	<input type="text"/>	Place of Signature:	<input type="text"/>